

MDNC (4/3/2024)

**UNITED STATES DISTRICT COURT  
MIDDLE DISTRICT OF NORTH CAROLINA**

Philip Schwab, individually and on behalf of those similarly situated,	)	
	)	
Plaintiff(s),	)	
	)	<b>Case No.: 1:25-cv-00481-UA-JEP</b>
v.	)	
Research Triangle Institute d/b/a	)	
RTI International,	)	
Defendant(s).	)	

**DISCLOSURE OF CORPORATE AFFILIATIONS AND OTHER  
ENTITIES WITH A DIRECT FINANCIAL INTEREST IN LITIGATION**

PLEASE COMPLETE ONLY ONE FORM FOR EACH NON-GOVERNMENTAL CORPORATION, PARTNERSHIP, TRUST, LIMITED LIABILITY COMPANY, OR OTHER SIMILAR ENTITY THAT IS A PARTY TO, OR THAT APPEARS IN, AN ACTION OR PROCEEDING IN THIS COURT. COUNSEL HAVE A CONTINUING DUTY TO UPDATE THIS INFORMATION. PLAINTIFF OR MOVING PARTY MUST SERVE THIS FORM ON THE DEFENDANT(S) OR RESPONDENT(S) WHEN INITIAL SERVICE IS MADE.

Research Triangle Institute \_\_\_\_\_ who is Defendant \_\_\_\_\_,  
(Name of Party) (Plaintiff/Moving Party or Defendant)

makes the following disclosure:

1. Is party a publicly held corporation or other publicly held entity?

( ) Yes (✓) No

2. Does party have any parent entities?

( ) Yes (✓) No

If yes, identify all parent entities including grandparent, great-grandparent, etc.: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. Does party have any subsidiary or other affiliate entities (corporate or otherwise) that might be affected by the proceeding?

☐ Yes

☒ No

If yes, identify all subsidiary or other affiliate entities (corporate or otherwise) that might be affected by the proceeding: \_\_\_\_\_

\_\_\_\_\_

4. Is 10% or more of the stock of the party owned by a publicly held corporation or other publicly held entity?

☐ Yes

☒ No

If yes, identify all such owners: \_\_\_\_\_

\_\_\_\_\_

5. Is there any other publicly held corporation or other publicly held entity that has a direct financial interest in the outcome of the litigation?

☐ Yes

☒ No

If yes, identify entity and nature of interest: \_\_\_\_\_

\_\_\_\_\_

6. In all cases, pursuant to Fed.R.Civ.P. 7.1(a)(2) and Local Rule 7.7(a)(2), the following is a list of all members or partners of N/A and, in diversity cases, their states of citizenship: \_\_\_\_\_ (name of LLC or LP party)

\_\_\_\_\_  
(name of member or partner)

\_\_\_\_\_  
(state of citizenship)

\_\_\_\_\_  
(name of member or partner)

\_\_\_\_\_  
(state of citizenship)

\_\_\_\_\_  
(name of member or partner)

\_\_\_\_\_  
(state of citizenship)

\_\_\_\_\_  
(name of member or partner)

\_\_\_\_\_  
(state of citizenship)

Note: If there are additional members or partners, provide their names, and in diversity cases states of citizenship, on a separate page. If any of the members or partners are an LLC or LP, provide the names, and in diversity cases states of citizenship, of the members or partners on a separate page, using the same method to identify the citizenship of members or partners through any layered entities, traced back to corporations or individuals. *See Capps v. Newmark Southern Region, LLC*, No. 21-1196 (4th Cir. Nov. 16, 2022).

/s/ Mark A. Hiller

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(Signature)

July 3, 2025

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(Date)